



## GONDWANA UNIVERSITY, GADCHIROLI

### Direction No. 22 of 2019

(issued under section 12(8) of the Maharashtra Public Universities Act, 2016)

**“NORMS & PROCEDURE FOR GRANTING RECOGNITION TO THE PLACE OF RESEARCH OR SPECIALIZED STUDIES AT THE COLLEGE OR AN INSTITUTION (AMENDMENT) DIRECTION, 2019”.**

**Whereas**, the Maharashtra Public Universities Act, 2016 has come into force with effect from 1<sup>st</sup> March'2017 i.e. Maharashtra Act No. VI of 2017 which is applicable to Gondwana University, Gadchiroli (hereinafter referred to as the Act);

**AND**

**Whereas**, a procedure for recognition of institution is provided by or under the provisions of section 111 of the said Act;

**AND**

**Whereas**, the Academic Council of the university has a power, to prescribe norms for granting recognition, continuation of recognition, extension of recognition to institutions of Higher Learning and Research or specialized studies, as provided under clause (m) of sub-section (1) of section 33 of the said Act;

**AND**

**Whereas**, Statute No. 6 of 2010 in respect of "Statue to provide norms prescribed for granting recognition to institution of Higher Learning and Research was in existence which was made applicable to the university from the date of its establishment;

**AND**

**Whereas**, the university Grants Commission in supersession of the UGC Regulations, 2009 (minimum standard and procedure for award of Ph.D. degree of the universities) has issued UGC Regulations, 2016 (minimum standard and procedure for award of M.Phil./Ph.D. degree) vide Notification dated 5<sup>th</sup> May 2016 which was published in the gazette of India vide No. 278, Part IV, section 4, dated 5<sup>th</sup> July, 2016;

**AND**

**Whereas**, Para 10 of the above mentioned UGC Regulations, 2016 has provided the academic, administrative and infrastructure requirements to be fulfilled by colleges and research laboratories of Government of India / State Government for getting recognition to offer M.Phil./Ph.D. programmes;

**AND**

**Whereas**, considering the norms and requirements prescribed by UGC for granting recognition to department/s in colleges and to research laboratories of Government of India / State Government, it was necessary to repeal Statute No. 6 of 2010;

**AND**

**Whereas**, inspection of colleges and recognized institutions is a matter to be regulated by the Statute, as provided under sub-section (15) of section 71 of the Act, for granting recognition to the place of research or specialized studies;

**AND**

**Whereas**, for granting recognition to the place of research or specialized studies in colleges / institutions / research laboratories of Government of India / State Government, Direction No. 1 of 2017 in respect of "prescribing norms and procedure for granting recognition to the place of Higher Learning and Research Direction, 2017" was issued by the Vice-Chancellor on 7/2/2017, under the provision of sub-section (8) of section 14 of the Maharashtra Universities Act, 1994;

**AND**

**Whereas**, provisions made under Direction No. 1 of 2017 in respect of "prescribing norms and procedures for granting recognition to the place of Higher Learning and Research Direction, 2017" are inconsistent with the provisions made under section 111 of the Act, for granting recognition to the place of research or specialized studies in colleges / institutions who are actually engaged in conducting research or specialized studies for a period of not less than 5 years;

**AND**

**Whereas**, Direction No. 34 of 2018 in respect of "Norms & procedure for granting recognition to the place of research or specialized studies at the college or an institution Direction, 2018." in consonance with the provisions made under section 111 of the Act, was issued by the Vice-Chancellor on 21/08/2018 under the provision of sub-section (8) of section 12 of the Maharashtra Public Universities Act, 2016;

**AND**

**Whereas**, it is provided under the provision of section 12 (8) of the Maharashtra Public Universities Act, 2016 that the Direction issued by the Vice-Chancellor is required to be converted into the Statute within six months of issuing of such Direction, failing which the said Direction shall automatically lapse;

**AND**

Whereas, Direction No. 34 of 2018 was automatically lapsed on 20/02/2019;

**AND**

Whereas, assent of the Hon'ble Chancellor to Draft Statute No. 26 of 2017 shall take time;

**AND**

**Whereas**, a three member committee under the chairmanship of Dr. N. S. Kokode was constituted vide item no. 32 in the meeting of Academic Council on dated 2nd November 2018 to suggest the norms for Recognition of Research center;

**AND**

**Whereas**, the recommendations of the above said committee were accepted vide item No. 8(2) in the meeting of Academic Council on dated 25th February'2019;

**AND**

Whereas, Direction No. 34 of 2018, is required to be amended in lieu of Dr. N. S. Kokode committee recommendations and revived thereafter;

**AND**

**Whereas**, preparing the Statute prescribing norms and procedure for granting recognition to the place of research and specialized studies in colleges / institutions / research laboratories of Government of India / State Government is a time consuming process;

**AND**

**Whereas**, for granting recognition to the place of research in colleges / institutions for implementing Ph.D. Degree programmes by the University, it is necessary to regulate the matter by sub-ordinate legislation;

**AND**

**Whereas**, the matter is required to be executed with immediate effect.

**Now**, therefore, I, Dr. N. V. Kalyankar, Vice-Chancellor, Gondwana University, Gadchiroli, in exercise of the powers vested in me under provision of sub-section (8)

of section 12 of the Maharashtra Public Universities Act, 2016, do hereby issue the following Direction:

1. This Direction shall be called "Norms & procedure for granting recognition to the place of research or specialized studies at the college or an institution (Amendment) Direction, 2019."
2. This Direction shall come into force with effect from the date of its issuance.
3. In this Direction, unless the context otherwise requires:
  - a) "Act" means the Maharashtra Public Universities Act, 2016 i.e. Maharashtra Act No. VI of 2017.
  - b) "Academic Council" means the Academic Council constituted as per provision of sub-section (3) of section 32 of the Act.
  - c) "Autonomous College", "Autonomous Institutions" or "Autonomous Department" means a college, institution or department to which autonomy is granted and is designated to be so by the statutes.
  - d) "Board of Deans" means the Board of Deans constituted as per provision made under sub-section (2) of section 36 of the Act.
  - e) "College" means a college affiliated to the university, situated in the university area or jurisdiction.
  - f) "Conducted College" means a college maintained and managed by the university.
  - g) "Department" means a department teaching a particular subject or a group of subject in a college or an institution as prescribed in the statutes.
  - h) "Empowered Autonomous Cluster Institution" means a group of autonomous colleges or institutions of the same management or educational society which includes the colleges or institutions, identified by the University Grants Commission as college with potential for excellence or college of excellence, which have high level grade as specified by the Government by Notification in the official gazette as has been given the status of empowered autonomous institution by the authority under the statute, and is empowered to grant a joint degree with the affiliating university.
  - i) "Empowered Autonomous College" means an autonomous college that is identified by the university Grants Commission as college with potential for excellence or college of excellence, which has high level grade as specified by

the Government by Notification in the official gazette as has been given the status of empowered autonomous college by the authority under this statute, with a power to grant degree of such college jointly with the affiliating university.

- j) "Empowered autonomous Skills Development College" means a college which has been recognized by the university for conducting the skills development programmes as prescribed by the university as per the national, state level policy regarding skills qualifications and educational frame work and which is given the status of empowered autonomous skills development college by the university to which it is affiliated and is empowered to grant a joint degree, certificate, diploma and advanced diploma with the affiliating university.
- k) "Institution" means an academic institution of Higher Learning, not being a college, associated with and admitted to the privileges of the university.
- l) "Management" means the trustees or the managing or governing body, by whatever name called, of any trust registered under the Maharashtra Public Trusts Act, or any society registered under the Societies Registration Act, 1860 or a Company registered under section 8 of the Companies Act, 2013, under the management of which one or more colleges or recognized institutions or other institutions of higher learning, are conducted and admitted to the privileges of the university:

Provided that, in relation to any college or institution established or maintained by the Central Government or the State Government or a local authority like a Zilla Parishad, Municipal Council or Municipal Corporation, it means, respectively, the Central Government or the State Government or Zilla Parishad or the Municipal Council or the Municipal Corporation, as the case may be;

- m) "Postgraduate Department" means a department in a college or institution of Higher Learning, Research or specialized studies, recognized to be so by the university and imparting post-graduate instructions or guidance for research.
- n) "Pro-Vice-Chancellor" means the academic and executive officer, next to the Vice-Chancellor having purview of the entire university;

- o) "Recognized Institution" means an institution of Higher Learning, Research or Specialized Studies, other than a college, and recognized to be so by the university.
  - p) "Registrar" means a person so appointed as a Registrar by the Vice-Chancellor as per provision made under sub-section (3) of section 14 of the Act.
  - q) "Satellite Centre" means an integral part of an affiliated or conducted college or recognized institution imparting academic programme, co-curricular, research and extension activities in rural or tribal region, neighboring the location of such college or institution, established with the object of reaching the unreached, on the terms and conditions specified by the State Government by an order in the official gazette.
  - r) "School" means a school of studies maintained by or recognized as such by the university or autonomous college, empowered autonomous college, empowered autonomous cluster institution.
  - s) "Skills Knowledge Provider" means an institution which has been recognized by the university for conducting such courses as prescribed by the university as per the National, State Level Policy regarding skills qualification frame work.
  - t) "State Government" means the Government of Maharashtra.
  - u) "Teacher" means a person as defined under sub-section (61) of section 2 of the Act.
  - v) "University Department" means a department established and maintained by the university as prescribed by the statutes.
  - w) "University Institution" means a center, a school, or an institute established and maintained by the university as prescribed by the statutes.
  - x) "University Teacher" means a full time teacher appointed by the university.
  - y) "Vice-Chancellor" means a person appointed as a Vice-Chancellor of the university by the Chancellor under provision of sub-section (4) of section 11 of the Act.
4. The Management of an institution actively engaged in conducting research or specialized studies for a period of not less than five years, and seeking recognition shall apply to the Registrar of the university before the last day of

September of the year preceding the year from which the recognition is sought, with full information regarding the following matters, namely :-

- a) the constitution and personnel of the Management;
  - b) the subjects and courses of study and research Programs for which recognition is sought;
  - c) the accommodation, equipment and the number of students for whom provision has been made;
  - d) the permanent, visiting and honorary staff of the institution, recognized for guiding research or recognizable for the purpose by the university, their experience, evidence of research work carried out at the institution, publication, report, monographs, books published by the institution;
  - e) the fees levied, or proposed to be levied and the provisions made for capital expenditure on buildings, equipment and for the continued maintenance and efficient working of the institution.
5. Only those applications complying with the requirements shall be accepted and considered by the university.
  6. All such applications shall be scrutinized by the Board of Deans. The Board of Deans may call for any further information, which it thinks necessary and shall ask the management to comply with the requirements.
  7. If the Board of Deans decides to consider the application, it shall cause an inspection by a committee of persons having specialized knowledge in the subject or field concerned, for physical verification of all requirements for grant of recognition.
  8. The committee shall visit the institution and submit the report thereof to the Board of Deans, with such recommendations duly supported by relevant reasons as are deemed appropriate.
  9. After considering the report of such inquiry, and making such further inquiry, as it may think necessary, the Board of Deans shall submit to the Vice-Chancellor the proposal to grant or reject the application, in part or in whole,

with such recommendations duly supported by relevant reasons as are deemed appropriate and the report of the inquiry committee.

10. The Vice-Chancellor shall after considering the proposal submitted by the Board of Deans, may, either grant or reject it. The decision of the Vice-Chancellor in this regard shall be final and binding.
11. The Pro-Vice-Chancellor shall communicate the decision of the Vice-Chancellor to the management with a copy to the Director of Higher Education.
12. The process laid down in paras 4 to 11 shall be completed within six months.
13. In case the college/institution/laboratory or any department thereof does not have recognized supervisor(s) for guiding research for Ph.D., but has recognized supervisor(s) for guiding research of specialized studies and fulfills the conditions mentioned in paras 4 to 11 mentioned above, the application of such college/institution/laboratories or department thereof may be considered for grant of recognition as a place of research and/or specialized studies. Provided that, such a program is available in the University.

14. **Eligibility conditions for Recognition of Research Center**

PG Department in the Colleges / Post-Graduate Department Affiliated to the University / Research laboratories of Central / State Government shall apply for recognition of research center in the relevant subject/s in the prescribed format along with the prescribed fees, under the following categories:

- Post-Graduate Department of Affiliated Colleges having at least one recognized Ph. D. supervisor in the Department.
- Research laboratories of Central / State Government within the jurisdiction of the University having Two scientists with Ph.D. degree.

\*Note: For the given Research Center, an additional Associate Research Supervisor is allowed to register research scholars. An Associate Research



Supervisor is a recognized research supervisor of the University, who can associate himself/herself with only one recognized Research Center with the permission of his / her Head of the recognized research center, to supervise and carry out the research work in the given subject and to accommodate the eligible research students. The associate research supervisor has to submit the declaration to the university that he/she has associated with only one recognized research center.

Apart from above, the institution(s) applying under above mentioned categories shall have to fulfill the following conditions:

- i) Must have other academic administrative and supporting staff.
- ii) Must have required infrastructure and research promotional facilities.
- iii) Research laboratory/s with sophisticated instruments as required for conducting research in the concerned subject.
- iv) Adequate space for research scholar/s, computational facilities with essential software, uninterrupted power and water supply.
- iv) Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all subject/s, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials.

15. **Committee for recognition of Research Center:**

The committee for recognition of research center shall comprise of;

- i) Dean of the concerned Faculty as Chairperson.
- ii) Chairman B.O.S. of the concerned subject in the respective Faculty as Member.
- iii) Head of the University Department of the concerned Subject.

(where there is no University Department in the University, then the Head of PG Department of the affiliated college having P.G. Studies in the subject to be nominated by the Vice Chancellor as Member.

- iv) One Member of the Academic council in the relevant/related subject in the concerned faculty as Member.

The Committee shall visit the college / institute / department and submit its report to the competent authority of the University.

16. **Intake Capacity of the Research Center:**

The maximum intake capacity of Research Center shall be 30 for University Department and 20 per subject for the Post Graduate Department in the affiliated colleges for Non-Professional subjects and 10 for professional courses.

A Research Supervisor / Co-supervisor who is a Professor / Associate Professor / Assistant Professor can guide up to Eight (8) / six (6) / four (4) research scholars respectively.

Every recognized supervisor shall submit the declaration about details of the research scholars working under him/her in the prescribed format before 31<sup>st</sup> March of the year.

17. **Announcement of predetermined seats:**

Subject-wise seats for admission, criteria and procedure for admission and all other relevant information shall be notified on the University website on or before 31<sup>st</sup> May every year. Vacant seats shall be displayed on the University website.

18. **Tenure of the recognition:**

Tenure of the recognized research center will be for a period of FIVE years. The research center can apply for the renewal of the center by paying the requisite fees as prescribed from time to time, six months before the expiry of recognition of the center.

19. The college/Institution/laboratory or a department thereof, after getting recognition, shall submit to the university a progress report, within a month after completion of one year of the recognition and subsequently once after every six months, highlighting the research activity/activities conducted in the subject(s)/course(s) in which recognition has been granted.

20. The university shall have the power to withdraw the recognition of the college/institution/laboratory or department thereof, upon due enquiry, in case the norms for such recognition included in this Direction is/are violated and/or if the university is satisfied that adequate research activity/activities conducted in the subject(s) and courses are not being carried out in which the recognition has been granted.
21. The college/institution/laboratories of Government of India/ State Government desirous of seeking recognition as the place of research and/or specialized studies in the University area, should actively engaged in conducting research or specialized studies for a period of not less than five years. The management of such a college/institution/laboratory shall apply to the Registrar, Gondwana University, Gadchiroli in the prescribed form along with the requisite fees as prescribed by the competent authority from time to time for seeking recognition for the place of research and / or specialized study.
22. The college/institution/laboratory may apply for recognition for additional research program or specialized studies to extend the recognition of the college/institution/laboratory. The process and procedure for extension of recognition shall be the same as for granting recognition or continuation of recognition mentioned in this Direction.
23. Direction No. 34 of 2018 in respect of Norms & procedure for granting recognition to the place of research or specialized studies at the college or an institution direction, 2018 issued on 21/08/2018 by the Vice-Chancellor under provision of section 12(8) of the Maharashtra Public Universities Act, 2016 is automatically lapsed in the light of provision made under the provision of section 12 (8) of the Maharashtra Public Universities Act, 2016.

Place: Gadchiroli  
Date: 28.08.2019

  
(Dr. N. V. Kalyankar)  
Vice-Chancellor